



Attorney Docket No. 02008/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): M. SHIOYA et al.

Serial No. : 10/043,404

Filed : January 10, 2002

For : POWER SUPPLY SYSTEM AND
DEVICE DRIVEN BY POWER
SUPPLY SYSTEM

Art Unit : 3746

Examiner : KALAFUT, STEPHEN J.

**INFORMATION DISCLOSURE STATEMENT
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of an Australian Office Action issued in the counterpart Australian application;
- (2) Copies of the cited publications; and
- (3) Form PTO/SB/08A.

The Australian Office Action is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609 III A(3)).

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date noted below.

N. Saunders

Dated: December 17, 2003

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

The Australian Office Action cites the following six references:

1. EP 1009054
2. WO 00/35032
3. DE 4431747
4. US 5,759,712
5. US 5,976,725
6. US 5,932,365

Copies of the first four documents listed above have already been filed in the USPTO by applicants, and have already been made of record by the Examiner. See the initialed Form PTO/SB/08A attached to the Office Action mailed August 29, 2003. Therefore, only copies of USP 5,976,725 and USP 5,932,365 are submitted herewith and are listed on the attached Form PTO/SB/08A.

STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of September 24, 2003. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

Since this Information Disclosure Statement is being filed after a first Office Action, and with a Statement under 37 CFR 1.97(e) no USPTO fee is required in connection with consideration thereof. See 37 CFR 1.97(c). However, authorization is given to charge any fee which maybe required to Account No. 06-1378.

It is respectfully requested that the attached publication(s) be considered and made of record and that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,



Douglas Holtz, Esq.
Reg. No. 33,902

Dated: December 17, 2003

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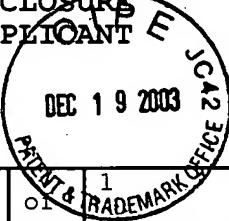
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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application Number	10/043,404	
		Filing Date	January 10, 2002	
		First Named Inventor	M. Shioya	
		Group Art Unit	1745	
		Examiner Name	Kalafut, Stephen J. 7700	
Sheet	1	1	Attorney Docket Number	02008/LH

U. S. PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
	A	5,932,365	A	Lin et al.	08-03-1999	
	B	5,976,725	A	Gamo et al.	11-02-1999	

FOREIGN PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶

Examiner Signature

Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: December 17, 2003